

AGENDA

AUDIT & COMPLIANCE COMMITTEE THURSDAY, MARCH 19, 2015- 2:30 P.M.

- I. Roll Call.
- II. Election of Chair. ^A
- III. Minutes of Previous Meeting (12/04/14). ^{A *}
- IV. Report of the A&CC Chair.
- V. Staff Personal Transaction Reports (12/31/14).
- VI. Commission Recapture Report (12/31/14). ^{A *}
- VII. Record of Vouchers (October, November, December 2014). ^{A *}
- VIII. Ethics Matters:
 - A. Placement Agent Contact Report (4Q14). ^{A *}
 - B. Fiduciary Training Program Review. ^{*}
 - C. Appointed Member Time Sheets.
- IX. Unfinished Business.
- X. New Business.
- XI. Next Meeting (06/19/15) and Adjournment.

- ^A Action Required
^{*} Attachment
^E Executive Session