

**AGENDA**  
**MEETING OF THE**  
**AUDIT & COMPLIANCE COMMITTEE**  
**THURSDAY, DECEMBER 15, 2016 – 12:35 P.M.**  
**NORTHERN TRUST, 50 S. LASALLE STREET, 9<sup>TH</sup> FLOOR**  
**LONDON ROOM**

<i>TIME*</i>	<i>ITEM</i>
12:35 p.m.	I. Roll Call
12:37 p.m.	II. Approval of Previous Meeting Minutes (09/15/16) <sup>1</sup>
12:40 p.m.	III. Report of the Audit & Compliance Committee Chair
12:42 p.m.	IV. Report of Director of Operations, Accounting and Audit A. Report on status of FY 2016 Audit <sup>2</sup>
12:52 p.m.	V. Staff Personal Transaction Report (09/30/16)
12:55 p.m.	VI. Consideration for Acceptance and Filing of Record of Vouchers (July, August, September 2016) <sup>1</sup>
12:57 p.m.	VII. Ethics Matters: A. Consideration and Approval of Agent Contact Report (Q2 FY17) <sup>1</sup> B. Update re FY17 Fiduciary Training Opportunities and Reminder of Board Member Timesheets
1:01 p.m.	VIII. New Business/Old Business
1:03 p.m.	IX. Public Comment
1:05 p.m.	X. Next Meeting; Motion to Adjourn <sup>1</sup>

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<sup>1</sup> Denotes action item

<sup>2</sup> Matters discussed may require Executive Session in accordance with the Open Meetings Act

\*All times approximate