

AGENDA

AUDIT & COMPLIANCE COMMITTEE THURSDAY, SEPTEMBER 17, 2015 – 1:00 P.M.

- I. Roll Call.
- II. Minutes of Previous Meeting (06/19/15). ^{A *}
- III. Report of the A&CC Chair.
- IV. Status Update FY 2015 Audit.
- V. Review of the Deferred Compensation 2014 Audit. ^{*}
- VI. Staff Personal Transaction Reports (06/30/15).
- VII. Commission Recapture Report (06/30/15). ^{A *}
- VIII. Record of Vouchers (April, May, June 2015). ^{A *}
- IX. Ethics Matters:
 - A. Placement Agent Contact Report (1Q16). ^{A *}
 - B. Appointed Board Member Timesheets.
- X. Unfinished Business.
- XI. New Business.
- XII. Next Meeting (12/18/15) and Adjournment.

- ^A Action Required
^{*} Attachment
^E Executive Session