

AGENDA

AUDIT & COMPLIANCE COMMITTEE FRIDAY, SEPTEMBER 26, 2014 – 9:00 A.M.

- I. Roll Call.
- II. Minutes of Previous Meeting (06/27/14). ^{A *}
- III. Report of the A&CC Chair.
- IV. Status Update FY 2014 Audit.
- V. Review of the Deferred Compensation 2013 Audit.
- VI. Staff Personal Transaction Reports (06/30/14).
- VII. Commission Recapture Report (06/30/14). ^{A *}
- VIII. Record of Vouchers (April, May, June 2014). ^{A *}
- IX. Ethics Matters:
 - A. Placement Agent Contact Report (1Q15). ^{A *}
 - B. Appointed Board Member Timesheets.
- X. Unfinished Business.
- XI. New Business.
- XII. Next Meeting (12/05/14) and Adjournment.

^A Action Required
^{*} Attachment
^E Executive Session