

## AGENDA

### AUDIT & COMPLIANCE COMMITTEE TUESDAY, DECEMBER 17, 2013 – 9:00 A.M.

- I. Roll Call.
- II. Minutes of Previous Meeting (09/27/13). <sup>A\*</sup>
- III. Report of the A&CC Chair.
- IV. Review of the 2013 Annual Report. <sup>A\*</sup>
- V. Approval of Third Party Travel Reimbursement Request. <sup>A\*</sup>
- VI. Staff Personal Transaction Reports (09/30/13).
- VII. Commission Recapture Report (09/30/13). <sup>A\*</sup>
- VIII. Record of Vouchers (July, August and September 2013). <sup>A\*</sup>
- IX. Ethics Matters:
  - A. Placement Agent Contact Report (09/30/13). <sup>A\*</sup>
  - B. Appointed Board Member Timesheets.
- X. Unfinished Business.
- XI. New Business.
- XII. Next Meeting (03/21/14) and Adjournment.

<sup>A</sup> Action Required  
<sup>\*</sup> Attachment