

## AGENDA

### **AUDIT & COMPLIANCE COMMITTEE FRIDAY, SEPTEMBER 27, 2013 – 8:45 A.M.**

- I. Roll Call.
- II. Minutes of Previous Meeting (06/21/13). <sup>A \*</sup>
- III. Report of the A&CC Chair.
- IV. Risk Assessment Presentation: Deloitte. <sup>\*</sup>
  - A. Adopt Succession Planning Policy. <sup>A \*</sup>
  - B. Adopt Revised Position Description- Deputy Executive Director. <sup>A \*</sup>
  - C. Adopt Password Policy. <sup>A \*</sup>
  - D. Adopt Software Policy. <sup>A \*</sup>
- V. Deferred Compensation Audit (12/31/12). <sup>A \*</sup>
- VI. Staff Personal Transaction Reports (06/30/13).
- VII. Commission Recapture Report (06/30/13). <sup>A \*</sup>
- VIII. Record of Vouchers (April, May, June 2013). <sup>A \*</sup>
- IX. Ethics Matters:
  - A. Placement Agent Contact Report (06/30/13). <sup>A \*</sup>
  - B. Ex-Parte Communication Disclosure. <sup>\*</sup>
  - C. Third Party Travel Reimbursement. <sup>A \*</sup>
  - D. Appointed Board Member Timesheets.
- X. Compliance Matter: Proposed Revisions to Administrative Rules. <sup>A \*</sup>
- XI. Unfinished Business.
- XII. New Business.
- XIII. Next Meeting (12/13/13) and Adjournment.

- <sup>A</sup> Action Required  
<sup>\*</sup> Attachment  
<sup>E</sup> Executive Session